



Some non-trivial aspects of Poincaré and CPT invariance of flavor vacuum

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Motivations

- Particle states in relativistic quantum field theory (QFT) are usually assumed to belong to unitary irreducible representations of Poincaré group¹
- Flavor states, not having a definite mass, do not fit in such a scheme
- In QFT construction, flavor states can be viewed as excitations of a flavor vacuum, where Poincaré and CPT symmetry are spontaneously broken. This does not affect flavor oscillations²

¹V. Bargmann, E.P. Wigner, Proc. Natl. Acad. Sci. U.S.A. **34**, 211 (1948).

²M. Blasone, P. Jizba, N.E Mavromatos and L.S., arXiv:2002.11072 [hep-th].

Poincaré group and flavor states

Poincaré transformations

A generic Poincaré transformation can be written as:

$$x'^{\mu} = \Lambda_{\nu}^{\mu} x^{\nu} + b^{\mu}$$

An infinitesimal spacetime translation is:

$$T(\delta b) = \mathbb{1} + i\delta b^{\mu} P_{\mu}$$

P_{μ} is the four-momentum operator. An infinitesimal proper-Lorentz transformation is:

$$\Lambda(\delta\omega) = \mathbb{1} - \frac{i}{2}\delta\omega_{\mu\nu} J^{\mu\nu}$$

$J^{\mu\nu}$ is the angular momentum tensor.

Casimir of Poincaré group

Poincaré group has two Casimir invariants:

$$M^2 \equiv P_\sigma P^\sigma \quad W^2 = W_\sigma W^\sigma$$

where

$$W_\sigma = -\frac{1}{2} \varepsilon_{\mu\nu\rho\sigma} J^{\mu\nu} P^\rho$$

is the **Pauli–Lubansky operator**.

Particle states are usually assumed to belong to the unitary irreducible representations of the Poincaré group. For massive states:

$$M^2 |k^2, s, \sigma\rangle = m_\sigma^2 |k^2, s, \sigma\rangle, \quad W^2 |k^2, s, \sigma\rangle = -m_\sigma^2 s(s+1) |k^2, s, \sigma\rangle$$

Here σ indicates other internal quantum numbers.

Flavor fields and flavor states

Let us now consider the Lagrange density³

$$\mathcal{L}(x) = \partial_\mu \varphi_f^\dagger(x) \partial^\mu \varphi_f(x) - \varphi_f^\dagger(x) M^2 \varphi_f(x)$$

where

$$\varphi_f(x) = \begin{bmatrix} \varphi_A(x) \\ \varphi_B(x) \end{bmatrix}, \quad M^2 = \begin{bmatrix} m_A^2 & m_{AB}^2 \\ m_{AB}^2 & m_B^2 \end{bmatrix}$$

it is clear that we cannot take flavor states as elements of irreducible representations of the Poincaré group. Otherwise:

$$M^2 |k_\sigma, \sigma\rangle = m_\sigma^2 |k_\sigma, \sigma\rangle, \quad \sigma = A, B$$

³M. Blasone, A. Capolupo, O. Romei and G. Vitiello, Phys. Rev. D **63**, 125015 (2001).

Flavor states and Poincaré group

- A possibility is to consider flavor states as belonging to irreducible representations of an extended Poincaré group. Coleman–Mandula theorem⁴ tells us that the only reasonable extension is $T^{3,1} \rtimes O(3,1) \times G$, where G is an internal flavor symmetry group.
- A construction of this type has been recently proposed⁵
- Another possibility is that Poincaré symmetry were spontaneously broken when field mixing is dynamically generated⁶. This last possibility originates from string theory (D-foam models^{7 8})

⁴S. Coleman and T. Mandula, Phys. Rev. **159**, 159 (1967).

⁵A.E Lobanov, Ann. Phys. **403**, 82 (2019).

⁶M. Blasone, P. Jizba, N.E Mavromatos and L.S., in preparation.

⁷N.E. Mavromatos, S. Sarkar and W. Tarantino, Phys. Rev. D **80**, 084046 (2009).

⁸M.Blasone, P. Jizba, N.E. Mavromatos and L.S., Phys. Rev. D. **100**, 045027 (2019).

Scalar field mixing in QFT

Mass fields

The above Lagrangian can be diagonalized:

$$\begin{bmatrix} \varphi_A(x) \\ \varphi_B(x) \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} \varphi_1(x) \\ \varphi_2(x) \end{bmatrix}$$

where $\tan 2\theta = 2m_{AB}^2 / (m_B^2 - m_A^2)$. Mass fields are expanded as usual:

$$\varphi_j(x) = \int \frac{d^3\mathbf{k}}{2\omega_{\mathbf{k},j}(2\pi)^3} \left[a_{\mathbf{k},j} e^{-i\omega_{\mathbf{k},j}t} + b_{-\mathbf{k},j}^\dagger e^{i\omega_{\mathbf{k},j}t} \right] e^{i\mathbf{k}\cdot\mathbf{x}}$$

where the annihilation and creation operators satisfy:

$$\left[a_{\mathbf{k},i}, a_{\mathbf{p},j}^\dagger \right] = \left[b_{\mathbf{k},i}, b_{\mathbf{p},j}^\dagger \right] = 2\omega_{\mathbf{k},i} (2\pi)^3 \delta(\mathbf{k} - \mathbf{p}) \delta_{ij}.$$

Flavor fields quantization (1)

One can expand flavor fields as:

$$\varphi_\sigma(x) = \int \frac{d^3\mathbf{k}}{2\omega_{\mathbf{k},\sigma}(2\pi)^3} \left[a_{\mathbf{k},\sigma}(t) e^{-i\omega_{\mathbf{k},\sigma}t} + b_{-\mathbf{k},\sigma}^\dagger(t) e^{i\omega_{\mathbf{k},\sigma}t} \right] e^{i\mathbf{k}\cdot\mathbf{x}}$$

with $\omega_{\mathbf{k},\sigma} = \sqrt{|\mathbf{k}|^2 + \mu_\sigma^2}$ and μ_σ are mass parameters which have to be specified. The flavor ladder operators are:

$$\begin{bmatrix} a_{\mathbf{k},A} \\ b_{-\mathbf{k},A}^\dagger \\ a_{\mathbf{k},B} \\ b_{-\mathbf{k},B}^\dagger \end{bmatrix} = \begin{bmatrix} c_\theta \rho_{A1}^{\mathbf{k}} & c_\theta \lambda_{A1}^{\mathbf{k}} & s_\theta \rho_{A2}^{\mathbf{k}} & s_\theta \lambda_{A2}^{\mathbf{k}} \\ c_\theta \lambda_{A1}^{\mathbf{k}*} & c_\theta \rho_{A1}^{\mathbf{k}*} & s_\theta \lambda_{A2}^{\mathbf{k}*} & s_\theta \rho_{A2}^{\mathbf{k}*} \\ -s_\theta \rho_{B1}^{\mathbf{k}} & -s_\theta \lambda_{B1}^{\mathbf{k}} & c_\theta \rho_{B2}^{\mathbf{k}} & c_\theta \lambda_{B2}^{\mathbf{k}} \\ -s_\theta \lambda_{B1}^{\mathbf{k}*} & -s_\theta \rho_{B1}^{\mathbf{k}*} & c_\theta \lambda_{B2}^{\mathbf{k}*} & c_\theta \rho_{B2}^{\mathbf{k}*} \end{bmatrix} \begin{bmatrix} a_{\mathbf{k},1} \\ b_{-\mathbf{k},1}^\dagger \\ a_{\mathbf{k},2} \\ b_{-\mathbf{k},2}^\dagger \end{bmatrix}$$

where $c_\theta \equiv \cos \theta$, $s_\theta \equiv \sin \theta$, $\rho_{\sigma j}^{\mathbf{k}} = |\rho_{\sigma j}^{\mathbf{k}}| e^{i(\omega_{\mathbf{k},\sigma} - \omega_{\mathbf{k},j})t}$,
 $\lambda_{\sigma j}^{\mathbf{k}} = |\lambda_{\sigma j}^{\mathbf{k}}| e^{i(\omega_{\mathbf{k},\sigma} + \omega_{\mathbf{k},j})t}$

Flavor fields quantization (2)

and

$$|\rho_{\sigma j}^{\mathbf{k}}| = \frac{1}{2} \left(\frac{\omega_{\mathbf{k},\sigma}}{\omega_{\mathbf{k},j}} + 1 \right), \quad |\lambda_{\sigma j}^{\mathbf{k}}| = \frac{1}{2} \left(\frac{\omega_{\mathbf{k},\sigma}}{\omega_{\mathbf{k},j}} - 1 \right)$$

This is a canonical transformation:

$$[a_{\mathbf{k},\sigma}(t), a_{\mathbf{p},\rho}^\dagger(t)] = [b_{\mathbf{k},\sigma}(t), b_{\mathbf{p},\rho}^\dagger(t)] = 2\omega_{\mathbf{k},\sigma} (2\pi)^3 \delta(\mathbf{k} - \mathbf{p}) \delta_{\sigma\rho}$$

The **flavor vacuum** is annihilated by flavor annihilation operators at a fixed time t :

$$a_{\mathbf{k},\sigma}(t) |0(t)\rangle_{A,B} = b_{\mathbf{k},\sigma}(t) |0(t)\rangle_{A,B} = 0$$

Flavor states

Flavor states:

$$|a_{\mathbf{k},\sigma}(t)\rangle \equiv a_{\mathbf{k},\sigma}^\dagger(t)|0(t)\rangle_{A,B}$$

These are eigenstates of the flavor charges

$$Q_\sigma(t) = i \int d^3\mathbf{x} \varphi_\sigma^\dagger(x) \overleftrightarrow{\partial}_0 \varphi_\sigma(x)$$

at fixed time t

$$Q_\sigma(t) |a_{\mathbf{k},\sigma}(t)\rangle = |a_{\mathbf{k},\sigma}(t)\rangle$$

Poincaré and CPT symmetry breaking

Space translations

The generator of space translations, so that

$T(\mathbf{b})\varphi_\sigma(t, \mathbf{x})T^{-1}(\mathbf{b}) = \varphi_\sigma(t, \mathbf{x} + \mathbf{b})$, is:

$$T(\mathbf{b}) \equiv \exp(i \mathbf{b} \cdot \mathbf{P})$$

where the momentum operator is

$$P_i = \sum_{\sigma=A,B} \int \frac{d^3\mathbf{k}}{2\omega_{\mathbf{k},\sigma}(2\pi)^3} k_i \left(a_{\mathbf{k},\sigma}^\dagger(t) a_{\mathbf{k},\sigma}(t) + b_{\mathbf{k},\sigma}^\dagger(t) b_{\mathbf{k},\sigma}(t) \right)$$

These annihilate the flavor vacuum

$$P_i |0(t)\rangle_{A,B} = 0$$

Hamiltonian in the flavor representation

The Hamiltonian reads

$$H = \sum_{\sigma,\tau} \int \frac{d^3\mathbf{k}}{2\omega_{\mathbf{k},\sigma}(2\pi)^3} \left[w_{\sigma\tau}^{\mathbf{k}} \left(a_{\mathbf{k},\sigma}^\dagger(t) a_{\mathbf{k},\tau}(t) + b_{\mathbf{k},\sigma}^\dagger(t) b_{\mathbf{k},\tau}(t) \right) \right. \\ \left. + y_{\sigma\tau}^{\mathbf{k}} \left(a_{\mathbf{k},\sigma}^\dagger(t) b_{-\mathbf{k},\tau}^\dagger(t) + b_{-\mathbf{k},\sigma}(t) a_{\mathbf{k},\tau}(t) \right) \right]$$

where $w_{\sigma\tau}^{\mathbf{k}}, y_{\sigma\tau}^{\mathbf{k}}$ are non-zero coefficients. Then

$$H|0(t)\rangle_{A,B} = \sum_{\sigma,\tau} \int \frac{d^3\mathbf{k}}{2\omega_{\mathbf{k},\sigma}(2\pi)^3} y_{\sigma\tau}^{\mathbf{k}} |a_{\mathbf{k},\sigma}(t)\rangle \otimes |b_{-\mathbf{k},\tau}(t)\rangle$$

Flavor vacuum manifold

Flavor vacua at different times are given by:

$$|0(t)\rangle_{A,B} = T(t) |0\rangle_{A,B}$$

with

$$T(t) \equiv \exp(i H t)$$

They form a manifold of states with equal total charge

$$Q = Q_A(t) + Q_B(t)$$

By Fabri–Picasso theorem⁹ flavor Fock spaces at different times are unitarily inequivalent.

⁹E. Fabri, L. E. Picasso, Phys. Rev. Lett. **16**, 408 (1966).

Oscillation formula

In fact

$${}_{A,B}\langle 0|H^2|0\rangle_{A,B} = V {}_{A,B}\langle 0|H\mathcal{H}(0)|0\rangle_{A,B}$$

which diverges for $V \rightarrow \infty$. Flavor oscillation formula:

$$\mathcal{Q}_{\sigma \rightarrow \rho}(t, t_0) = {}_{A,B}\langle a_{\mathbf{k},\sigma}(t_0)|\mathcal{Q}_{\rho}(t)|a_{\mathbf{k},\sigma}(t_0)\rangle_{A,B}$$

This depends only on time differences:

$$\mathcal{Q}_{\sigma \rightarrow \rho}(t, t_0) = \mathcal{Q}_{\sigma \rightarrow \rho}(t - t_0)$$

Rotations

The angular momentum operator is:

$$\mathbf{L} = \int \frac{d^3\mathbf{k}}{2\omega_{\mathbf{k},\sigma}(2\pi)^3} \left[a_{\mathbf{k},\sigma}^\dagger(t) (\mathbf{k} \times \nabla_{\mathbf{k}}) a_{\mathbf{k},\sigma} + b_{\mathbf{k},\sigma}^\dagger(t) (\mathbf{k} \times \nabla_{\mathbf{k}}) b_{\mathbf{k},\sigma}(t) \right]$$

Generator of rotations:

$$R(\boldsymbol{\vartheta}) = \exp(-i \boldsymbol{\vartheta} \cdot \mathbf{L})$$

This is a symmetry of vacuum:

$$\mathbf{L} |0(t)\rangle_{A,B} = 0$$

Boosts

Generator of boosts along the l -th axis:

$$K_l = \left[x^0 \int d^3\mathbf{x} \left(\pi_f^\dagger(x) \partial^l \varphi_f(x) + \partial^l \varphi_f^\dagger(x) \pi_f(x) \right) \right. \\ \left. - \int d^3\mathbf{x} x^l \left(\pi_f^\dagger(x) \pi_f(x) + \nabla \varphi_f^\dagger(x) \cdot \nabla \varphi_f(x) + \varphi_f^\dagger(x) M^2 \varphi_f(x) \right) \right]$$

A generic boost can be written in terms of the unitary generator:

$$U(L) = \exp \left(-i \sum_{l=1}^3 \xi^l K_l \right)$$

so that

$$U(L) \varphi_\sigma(x) U^{-1}(L) = \varphi_\sigma(x')$$

This is not a flavor vacuum symmetry: **Vacuum symmetry is $E(3)$.**

Flavor wave-packets

Ladder operators transform as:

$$U(L) a_{\mathbf{k},\sigma}(t) U^{-1}(L) = a_{L\mathbf{k},\sigma}(t')$$

$$U(L) b_{\mathbf{k},\sigma}(t) U^{-1}(L) = b_{L\mathbf{k},\sigma}(t')$$

Flavor wave-packets:

$$|a_{\sigma}(y)\rangle \equiv \int \frac{d^3\mathbf{k}}{2\omega_{\mathbf{k},\sigma}(2\pi)^3} e^{-iky} f(\mathbf{k}) a_{\mathbf{k},\sigma}(y_0) |0(y_0)\rangle_{A,B}$$

If $f(\mathbf{k})$ is Lorentz invariant:

$$|a'_{\sigma}(y)\rangle \equiv U(L)|a_{\sigma}(y)\rangle = |a_{\sigma}(y')\rangle$$

Invariance of oscillation formula

Covariant form of flavor oscillation formula:

$$\mathcal{J}_{\sigma \rightarrow \rho}^{\mu}(x - y) = \langle a_{\sigma}(y) | J_{\rho}^{\mu}(x) | a_{\sigma}(y) \rangle$$

where $J_{\rho}^{\mu}(x)$ are the flavor currents:

$$J_{\rho}^{\mu}(x) \equiv i\varphi_{\rho}^{\dagger}(x) \overleftrightarrow{\partial}^{\mu} \varphi_{\rho}(x)$$

In the primed Lorentz frame:

$$\langle a'_{\sigma}(y) | J_{\rho}^{\mu}(x') | a'_{\sigma}(y) \rangle = \langle a_{\sigma}(y') | J_{\rho}^{\mu}(x') | a_{\sigma}(y') \rangle = \mathcal{J}_{\sigma \rightarrow \rho}^{\mu}(x' - y')$$

Oscillation formula is Lorentz invariant!

Parity

Parity transformation:

$$P \varphi_\sigma(x) P^{-1} = \eta_{\sigma,P} \varphi_\sigma(\tilde{x})$$

where $\tilde{x} = (t, -\mathbf{x})$ and $\eta_{\sigma,P}$ is a phase. Ladder operators transform as:

$$P a_{\mathbf{k},\sigma}(t) P^{-1} = \eta_{\sigma,P} a_{-\mathbf{k},\sigma}(t) \quad P b_{\mathbf{k},\sigma}(t) P^{-1} = \eta_{\sigma,P}^* b_{-\mathbf{k},\sigma}(t)$$

$$P a_{\mathbf{k},\sigma}^\dagger(t) P^{-1} = \eta_{\sigma,P}^* a_{-\mathbf{k},\sigma}^\dagger(t) \quad P b_{\mathbf{k},\sigma}^\dagger(t) P^{-1} = \eta_{\sigma,P} b_{-\mathbf{k},\sigma}^\dagger(t)$$

The flavor vacuum is invariant under parity transformation:

$$P |0(t)\rangle_{A,B} = |0(t)\rangle_{A,B}$$

Charge conjugation

The charge conjugation transformation:

$$C \varphi_\sigma(x) C^{-1} = \eta_{\sigma,C} \varphi_\sigma^\dagger(x)$$

Transformations of creation and annihilation operators follow:

$$C a_{\mathbf{k},\sigma}(t) C^{-1} = \eta_{\sigma,C} b_{\mathbf{k},\sigma}(t) \quad C b_{\mathbf{k},\sigma}(t) C^{-1} = \eta_{\sigma,C}^* a_{\mathbf{k},\sigma}(t)$$

$$C a_{\mathbf{k},\sigma}^\dagger(t) C^{-1} = \eta_{\sigma,C}^* b_{\mathbf{k},\sigma}^\dagger(t) \quad C b_{\mathbf{k},\sigma}^\dagger(t) C^{-1} = \eta_{\sigma,C} a_{\mathbf{k},\sigma}^\dagger(t)$$

The flavor vacuum is invariant under charge conjugation:

$$C |0(t)\rangle_{A,B} = |0(t)\rangle_{A,B}$$

Time reversal

Time reversal transformation:

$$T \varphi_{\sigma}(x) T^{-1} = \eta_{\sigma,T} \varphi_{\sigma}(-\tilde{x}), \quad (1)$$

Transformations of creation and annihilation operators follow:

$$T a_{\mathbf{k},\sigma}(t) T^{-1} = \eta_{\sigma,T} a_{-\mathbf{k},\sigma}(-t) \quad T b_{\mathbf{k},\sigma}(t) T^{-1} = \eta_{\sigma,T}^* b_{-\mathbf{k},\sigma}(-t)$$

$$T a_{\mathbf{k},\sigma}^{\dagger}(t) T^{-1} = \eta_{\sigma,T}^* a_{-\mathbf{k},\sigma}^{\dagger}(-t) \quad T b_{\mathbf{k},\sigma}^{\dagger}(t) T^{-1} = \eta_{\sigma,T} b_{-\mathbf{k},\sigma}^{\dagger}(-t)$$

This is not a flavor vacuum symmetry. However, flavor oscillation formula is invariant:

$$\mathcal{Q}_{\sigma \rightarrow \rho}(-t) = \mathcal{Q}_{\sigma \rightarrow \rho}(t)$$

CPT transformation:

$$\Theta \equiv C P T$$

Transformation of creation and annihilation operators:

$$\begin{aligned}\Theta a_{\mathbf{k},\sigma}(t) \Theta^{-1} &= \eta_{\sigma} b_{\mathbf{k},\sigma}(-t) & \Theta b_{\mathbf{k},\sigma}(t) \Theta^{-1} &= \eta_{\sigma}^* a_{\mathbf{k},\sigma}(-t) \\ \Theta a_{\mathbf{k},\sigma}^{\dagger}(t) \Theta^{-1} &= \eta_{\sigma}^* b_{\mathbf{k},\sigma}^{\dagger}(-t) & \Theta b_{\mathbf{k},\sigma}^{\dagger}(t) \Theta^{-1} &= \eta_{\sigma} a_{\mathbf{k},\sigma}^{\dagger}(-t)\end{aligned}$$

This is not a flavor vacuum symmetry. However, flavor oscillations are CPT invariants:

$$\mathcal{J}_{\sigma \rightarrow \rho}^{\mu}(x) = \mathcal{J}_{\bar{\sigma} \rightarrow \bar{\rho}}^{\mu}(-x) \quad (2)$$

Conclusions and perspectives

Conclusions

- Flavor states do not belong to unitarily irreducible representations of the Poincaré group
- In QFT flavor states can be constructed as excitations of flavor vacuum
- While Lagrangian is Poincaré and then CPT invariant, the flavor vacuum is not: SSB of Poincaré down to $E(3)$
- SSB of CPT symmetry
- Flavor oscillation formula is Poincaré and CPT invariant

- Analysis of the fermion case
- Extension to three flavors: Explicit CP symmetry breaking
- Connection with Standard Model Extension¹⁰
- Explicit mechanism of Poincaré SSB in D-foam models¹¹.

¹⁰V.A. Kostelecky and M. Mewes, Phys. Rev. D **69**, 016005 (2004).

¹¹N.E Mavromatos, S. Sarkar and W. Tarantino, Mod. Phys. Lett. A **28**, 1350045 (2013).

Thank you for the attention!